Express Mail No. EV 192787306 US

PATENT Docket No. H 6216 HST

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Komiyama et al.

Serial No. Examiner: Filed: Art Unit:

Title: TREATING AGENT FOR FORMING PROTECTIVE COATING AND

METALLIC MATERIAL, HAVING PROTECTIVE COATING

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Information Disclosure Statement ("IDS") in the abovereferenced application, together with an IDC form listing all references cited and a copy of each reference.

This IDS is being mailed within three months of filing of the above captioned application, if it is a national application, or within three months of entering, as set forth in 37 C.F.R. § 1.491, the national stage of the above captioned application, if the above captioned application is an international application. Therefore, consideration of the IDS by the Patent and Trademark Office, without the payment of any additional fee, is believed to be due under 37 C.F.R. § 1.97(b).

Some of the references are neither in English nor cited in the accompanying English language version of a search report by another patent office, and therefore require a concise explanation of relevancy, as it is currently understood by the applicant, pursuant to 37 C.F.R. § 1.98(a)(3). This required explanation of relevancy is given in the specification of the above captioned application for the following references:

1

## Reference Identification

JP 04-01798 JP 2000-63880 JP05-07969

## Described in Specification at:

Page 3, lines 15-16 Page 4, lines 4-5 Page 12, lines 8-10

Respectfully submitted,

Stephen D. Harper (Reg. No. 33,243) Attorney for Applicants 610-278-4927

Henkel Corporation
Patent Department
The Triad, Suite 200
2200 Renaissance Boulevard, Suite 200
Gulph Mills, PA 19406

SDH/mlc G:\Data\H6216ids.doc Enclosure

- 1. International Search Report (English Language)
- 2. IDC w/references

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO	Complete if Known	
	Application Number	
INFORMATION DISCLOSURE	Filing Date	
STATEMENT BY APPLICANT	First Named Inventor	Komiyama et al.
	Art Unit	
(use as many sheets as necessary)	Examiner Name	
Sheet of	Attorney Docket Number	H6216

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-		,	
		US-		-	
		US-		-	
		US-			

FOREIGN PATENT DOCUMENTS						
		Foreign Patent Document		· · · · · · · · · · · · · · · · · · ·	Pages, Columns,	
Examiner Cite Initials* No.1 Country Code Code2	Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>2</sup> (if known)	Code <sup>3</sup> -Number - Kind ode <sup>6</sup> (if known)	Country	Lines, Where Relevant Passages or Relevant Figures Appear	τ°	
		JP 06-57441	03/01/1994	Japan		厂
		JP 10-130861	05/19/1998	Japan		
		JP 64-21785	01/25/1989	Japan		
		JP 10-217394	08/18/1998	Japan	. ,	<b>!</b>
		JP 04-01798	01/14/1992	Japan		1
		JP 2000-63880	02/29/2000	Japan		1
	-	JP 05-07969	01/19/1993	Japan		1
					· .	1.
					<del> </del>	<u> </u>

Examiner Initials*  Cite No.  Include name of the author (in CAPITAL LETTERS), tille of the article (when appropriate), tille of the ltem (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue Number(s), publisher, city and/or country where published.			n, catalog, etc.), date page(s), volume-issue	T²

Examiner	Date	
Signature	 Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English Language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Wischington Discount of the Chief Information Officer. Sent To: Assistant Commissioner for Patents.

Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and